

ELECTROSTEEL STEELS LIMITED
SHAREHOLDING FOR THE Q. E. 30TH SEPTEMBER 2016

1.	Name of Listed Entity: ELECTROSTEEL STEELS LIMITED		
2.	Scrip Code/Name of Scrip/Class of Security: 533264, ESL, EQUITY SHARES		
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)		
	a. if under 31(1)(b) then indicate the report for quarter ended 30/09/2016		
	b. if under 31(1)(c) then indicate date of allotment/extinguishment : N.A.		
4.	Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:		
	Particulars	YES	NO
	a Whether the Listed Entity has issued any partly paid up shares	-	NO
	b Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	NO
	c Whether the Listed Entity has any shares against which depository receipts are issued?	-	NO
	d Whether the Listed Entity has any shares in locked-in?	YES	-
	e Whether any shares held by promoters are pledge or otherwise encumbered?	YES	-




Table B - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	No of Voting Rights			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
									Class X	Class Y	Total (IX)						
			(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Total as a % of (A+B+C)			(X)	(XI)	(XII)	(XIII)	(XIV)	
(1)	Indian																
(a)	Individual/Hindu undivided Family																
(b)	Central Government/State Government(s)																
(c)	Financial Institutions/Banks																
(d)	Any Other			1,08,98,00,000			1,08,98,00,000	45.23	1,08,98,00,000	45.23	1,08,98,00,000	45.23					
	ELECTROSTEEL CASTINGS LIMITED *		1	1,08,98,00,000			1,08,98,00,000	45.23	1,08,98,00,000	45.23	1,08,98,00,000	45.23					
	Sub-Total (A)(1)		1	1,08,98,00,000			1,08,98,00,000	45.23	1,08,98,00,000	45.23	1,08,98,00,000	45.23					
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																
(b)	Government																
(c)	Institutions																
(d)	Foreign Portfolio Investor																
(e)	Any Other																
	Sub-Total (A)(2)																
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	1,08,98,00,000	0	0	1,08,98,00,000	45.23	1,08,98,00,000	45.23	1,08,98,00,000	45.23					1,08,98,00,000

* Information provided to NSE & BSE separately



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depositor Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked Shares in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class X	Class Y	Total					
		(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)
									Total as a % of (A+B+C)							
(1)	Institutions															
(a)	Mutual Funds															
(b)	Venture Capital Funds		2	1,53,05,000	-	-	1,53,05,000	0.64	-	-	-	1,53,05,000	0.64	-	-	1,53,05,000
(c)	Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Venture Capital Investors		3	3,48,96,791	-	-	3,48,96,791	1.45	-	-	-	3,48,96,791	1.45	-	-	3,48,96,791
(e)	Foreign Portfolio Investors		3	3,20,00,000	-	-	3,20,00,000	1.33	-	-	-	3,20,00,000	1.33	-	-	3,20,00,000
(f)	HYPNOS FUND LIMITED		1	18,66,432	-	-	18,66,432	0.08	-	-	-	18,66,432	0.08	-	-	18,66,432
(g)	Financial Institutions/Banks		3	-	-	-	-	-	-	-	-	-	-	-	-	-
(h)	Insurance Companies		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i)	Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(j)	Any Other		2	47,61,97,077	-	-	47,61,97,077	19.77	-	-	-	47,61,97,077	19.77	-	-	47,61,97,077
(k)	FOREIGN COMPANIES		1	7,52,87,431	-	-	7,52,87,431	3.12	-	-	-	7,52,87,431	3.12	-	-	7,52,87,431
(l)	(a) PGSI INVEST CORP LIMITED		1	40,09,09,646	-	-	40,09,09,646	16.64	-	-	-	40,09,09,646	16.64	-	-	40,09,09,646
(m)	(b) STEMCOR CAST IRON INVESTMENTS LIMITED		4	18,67,37,306	-	-	18,67,37,306	7.75	-	-	-	18,67,37,306	7.75	-	-	18,67,37,306
(n)	FOREIGN CORPORATE BODIES		1	8,74,41,860	-	-	8,74,41,860	3.63	-	-	-	8,74,41,860	3.63	-	-	8,74,41,860
(o)	(a) GPC MAURITIUS II LLC		1	3,46,95,000	-	-	3,46,95,000	1.44	-	-	-	3,46,95,000	1.44	-	-	3,46,95,000
(p)	(b) TARA INDIA HOLDINGS A LTD		1	4,83,52,720	-	-	4,83,52,720	2.01	-	-	-	4,83,52,720	2.01	-	-	4,83,52,720
(q)	(c) STARBRIDGE FINANCE LTD		14	71,50,02,606	-	-	71,50,02,606	29.69	-	-	-	71,50,02,606	29.69	-	-	71,50,02,606
(r)	Sub Total (B1)															
(s)	Central Government/State Government(s)/President of India		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(t)	Sub Total (B2)															
(u)	Non-Institutions		49,690	10,86,37,102	-	-	10,86,37,102	4.50	-	-	-	10,86,37,102	4.50	-	-	10,86,37,102
(v)	(a) Individual shareholders holding nominal share capital up to Rs 2 Lakhs		2,267	19,15,47,190	-	-	19,15,47,190	7.95	-	-	-	19,15,47,190	7.95	-	-	19,15,47,190
(w)	(b) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(x)	Sub Total (B3)															
(y)	NBFCs Registered with RBI		3	17,000	-	-	17,000	-	-	-	-	17,000	-	-	-	17,000
(z)	Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(aa)	Overseas Depositories (Holding Depository/Balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ab)	Any Other		13	5,67,448	-	-	5,67,448	0.02	-	-	-	5,67,448	0.02	-	-	5,67,448
(ac)	TRUSTS		252	78,85,878	-	-	78,85,878	0.33	-	-	-	78,85,878	0.33	-	-	78,85,878
(ad)	NON RESIDENT INDIANS		102	13,45,647	-	-	13,45,647	0.06	-	-	-	13,45,647	0.06	-	-	13,45,647
(ae)	CLEARING MEMBERS		-	-	-	-	-	-	-	-	-	-	-	-	-	-
(af)	NON RESIDENT INDIAN NON REPEATABLE		92	19,00,825	-	-	19,00,825	0.08	-	-	-	19,00,825	0.08	-	-	19,00,825
(ag)	BODIES CORPORATES		1,123	29,25,31,327	-	-	29,25,31,327	12.14	-	-	-	29,25,31,327	12.14	-	-	29,25,31,327
(ah)	IL AND FS FINANCIAL SERVICES LIMITED		1	6,77,00,000	-	-	6,77,00,000	2.81	-	-	-	6,77,00,000	2.81	-	-	6,77,00,000
(ai)	IFCI LTD		1	9,19,79,734	-	-	9,19,79,734	3.82	-	-	-	9,19,79,734	3.82	-	-	9,19,79,734
(aj)	Sub Total (B4)		53,542	60,44,32,417	-	-	60,44,32,417	25.08	-	-	-	60,44,32,417	25.08	-	-	60,44,32,417
(ak)	Sub Total (B5)		53,556	1,31,94,35,023	-	-	1,31,94,35,023	54.77	-	-	-	1,31,94,35,023	54.77	-	-	1,31,94,35,023
(al)	Total Public Shareholding (B) = (B1)+(B2)+(B3)															

* Information provided to NSE & BSE separately



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrant capital)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
									Class X	Class Y	Total					
(1)	Custodian/DOR Holder	(B)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)								
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		-	-	-	-	-	-								
	Total Non-Promoter-Non Public Shareholding		-	-	-	-	-	-								



Details of the shareholders acting as persons in Concert including their Shareholding:		
Name of Shareholder	Name of PAC	No of shares
	NA	NA
Total:		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders	No of shares
N/A	N/A

